



PTO/SB/21 (09-04)

1636 JFW

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

93

Application Number

10/587,723

Filing Date

April 25, 2007

First Named Inventor

MILLER et al.

Art Unit

1636

Examiner Name

Attorney Docket Number

8325-0036.31 (S36-US3)

ENCLOSURES (Check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement
(3 pgs) with attached PTO/SB/08A (1 pg),
Ref B1 (85 pgs) and EP Search Report
mailed March 14, 2008 (3 pages)☐ Certified Copy of Priority
Document(s)☐ Reply to Missing Parts/ Incomplete
Application☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify
below):

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Remarks

The Commissioner is authorized to charge any additional fees to Deposit
Account 18-1648.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Robins & Pasternak LLP

Signature

Printed name

Dahna S. Pasternak

Date

April 17, 2008

Reg. No.

41,411

CERTIFICATE OF TRANSMISSION/MAILING

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Signature

Typed or printed name

Michelle Hobson

Date

April 17, 2008



USSN: 10/587,723
Dkt. No.: 8325-0036.31
S36-US3

PATENT

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

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4/17/08
Date

Michelle Hobson
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

MILLER et al.

Application No.: 10/587,723

International Appl. No. PCT/US2005/003245

International Filing Date: February 3, 2005

Title: METHODS AND COMPOSITIONS FOR
TARGETED CLEAVAGE AND
RECOMBINATION

Examiner:

Group Art Unit: 1636

Confirmation No.: 2253

Customer No.: 20855

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of publication B1 cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copy of the U.S. publications _____ listed on the attached Form PTO/SB/08A are being provided because the Office waives the requirement under 37 C.F.R. 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U. S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. _____ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☒ Publication(s) B1 listed on the attached Form PTO/SB/08A was cited in a foreign search or examination report corresponding to European Application No. 05756438.7 and mailed on March 14, 2008.
- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed within any one of the following time periods:


- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Date: April 17, 2008

By: 
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